

PLANT PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications filed under 37 CFR 1.53(b))</small>	Attorney Docket No.	BRULG 1.1
	First Named Inventor	Clarence H. Falstad, III
	Title	Brunnera macrophylla plant named 'Looking Glass'
	Express Mail Label No.	ER 365317674 US

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS <small>See MPEP chapters 600 & 1600 concerning plant patent application contents.</small>	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <small>[Total Pages <u>Seven</u>]</small> <small>(preferred arrangement set forth below)</small></p> <ul style="list-style-type: none">- Descriptive title of the invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Latin name of genus and species- Variety denomination- Background of the Invention- Brief Description of the Drawings- Detailed Botanical Description- A single claim- Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Color drawing(s) <small>[Total Sheets <u>two</u>] (2 copies required - 37 CFR 1.165(b))</small></p> <p>5. Oath or Declaration <small>[Total Pages <u>two</u>]</small></p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small></p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.</p>	<p>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small> <input type="checkbox"/> Power of Attorney</p> <p>9. <input type="checkbox"/> English Translation Document <small>(if applicable)</small></p> <p>10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>13. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>14. <input type="checkbox"/> Request Nonpublication under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>15. <input type="checkbox"/> Other:</p> <p>Note: Please state the Latin name and variety denomination of the plant claimed in a separate section of the specification.</p>

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner

Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number:		OR <input checked="" type="checkbox"/> Correspondence address below			
Name	Clarence H. Falstad, III				
Address	Walters Gardens, Inc., P.O. Box 137				
City	Zeeland	State	MI	Zip Code	49464-0137
COUNTRY	USA	Telephone	616-772-5975 ext 240	Fax	616-772-5803

Name (Print/Type)	Clarence H. Falstad, III	Registration No. (Attorney/Agent)	-
Signature	Clarence H. Falstad, III	Date	November 21, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

120103

17621 U.S. PTO

Plant

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)260.00

Complete if Known

Application Number

Filing Date

First Named Inventor

Clarence H. Falstad, III

Examiner Name

Art Unit

Attorney Docket No.

BRUG 1.1

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	260
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)260.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051 130		2051 65		Surcharge - late filing fee or oath	
1052 50		2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130		1053 130		Non-English specification	
1812 2,520		1812 2,520		For filing a request for <i>ex parte</i> reexamination	
1804 920*		1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840*		1805 1,840*		Requesting publication of SIR after Examiner action	
1251 110		2251 55		Extension for reply within first month	
1252 410		2252 205		Extension for reply within second month	
1253 930		2253 465		Extension for reply within third month	
1254 1,450		2254 725		Extension for reply within fourth month	
1255 1,970		2255 985		Extension for reply within fifth month	
1401 320		2401 160		Notice of Appeal	
1402 320		2402 160		Filing a brief in support of an appeal	
1403 280		2403 140		Request for oral hearing	
1451 1,510		1451 1,510		Petition to institute a public use proceeding	
1452 110		2452 55		Petition to revive - unavoidable	
1453 1,300		2453 650		Petition to revive - unintentional	
1501 1,300		2501 650		Utility issue fee (or reissue)	
1502 470		2502 235		Design issue fee	
1503 630		2503 315		Plant issue fee	
1460 130		1460 130		Petitions to the Commissioner	
1807 50		1807 50		Processing fee under 37 CFR 1.17(q)	
1806 180		1806 180		Submission of Information Disclosure Stmt	
8021 40		8021 40		Recording each patent assignment per property (times number of properties)	
1809 750		2809 375		Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750		2810 375		For each additional invention to be examined (37 CFR 1.129(b))	
1801 750		2801 375		Request for Continued Examination (RCE)	
1802 900		1802 900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)260.00

SUBMITTED BY

Name (Print/Type)

Clarence H. Falstad

Registration No.
(Attorney/Agent)

-

(Complete if applicable)

Telephone 616-772-5975 ext.

Signature

Clarence H. Falstad III

Date

11/21/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Certificate of Express Mailing under 37 CFR 1.10

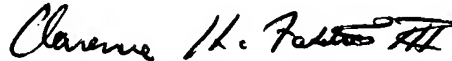
I hereby certify that this correspondence for the new plant patent application entitled *Brunnera macrophylla* plant named 'Looking Glass', containing:

1. Plant Patent Application Transmittal form PTO/SB/19 (2 copies)
2. Fee Transmittal for FY 2003 form PTO/SB/17 (2 copies)
3. Plant Patent Application Declaration form PTO/SB/03 two pages (2 copies)
4. Specification for new plant patent application with one claim and abstract totaling seven pages (2 copies)
5. Two drawings of invention (2 copies each)
6. Check for total payment of small entity Plant filing fee in the amount of \$260
7. Cover letter claiming small entity status
8. Information Disclosure Statement with patent and published application copies
9. Return postcard
10. Certificate of Express Mail Deposit

is being deposited in an envelope in the United States Postal Services with sufficient postage as Express Mail to Addressee, addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on December 1, 2003
Date



Signature

Clarence H. Falstad, III

Typed name of person signing Certificate
152 Birchwood
Holland, MI 49423-6657

Express Mail Label Number: ER 365317674 US

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

December 1, 2003

Mr. Commissioner:

Respectfully submitted and enclosed is the Plant Patent Application for *Brunnera macrophylla* plant named 'Looking Glass'.

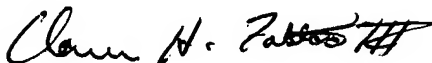
Clarence H. Falstad, III and John D. Walters, the inventors, are hereby making written assertion that they meet the requirements for small entity status.

Also enclosed is:

1. Plant Patent Application Transmittal form PTO/SB/19 (2 copies)
2. Fee Transmittal for FY 2003 form PTO/SB/17 (2 copies)
3. Plant Patent Application Declaration form PTO/SB/03 two pages (2 copies)
4. Specification for new plant patent application with one claim and abstract totaling seven pages (2 copies)
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6. Check in the amount of \$260 for total payment of small entity Plant filing fee
7. Cover letter claiming small entity status
8. Information Disclosure Statement with patent and published application copies
9. Return postcard
10. Certificate of Express Mail Deposit

Please accept this application for plant patent.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Clarence H. Falstad, III". The signature is fluid and cursive, with the last name "Falstad" being more prominent.

Clarence H. Falstad, III